

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934  
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Seirer Darren Clay</u>  (Last) (First) (Middle) <u>80 EIGHTH AVENUE, SUITE 1500</u>  (Street) <u>NEW YORK NY 10011</u>  (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) <u>08/19/2022</u>	3. Issuer Name and Ticker or Trading Symbol <u>Rubicon Technology, Inc. [ RBCN ]</u>	
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner Officer (give title below) Other (specify below)	5. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person

## Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	451,842 <sup>(1)</sup>	I <sup>(1)</sup>	See Footnote <sup>(1)</sup>

## Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date				

## Explanation of Responses:

1. No securities are beneficially owned by the Reporting Person. The Reporting Person serves as a director of the Issuer at the request of the beneficial owner of 1,108,000 shares of the Issuer's outstanding Common Stock, and the Reporting Person's spouse is a director, president and CEO of such shareholder and is the indirect beneficial owner of 40.78% of such shareholder's outstanding common stock. The Reporting Person disclaims beneficial ownership of any interest in the Issuer held by such shareholder and this report shall not be deemed an admission that the Reporting Person is the beneficial owner of the securities for purposes of Section 16 or any other purpose.

## Remarks:

Exhibit List: Exhibit 24 - Section 16 Power of Attorney\_D. Seirer

/s/ Darren Seirer

08/29/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

X0206 3 2022-08-19 0 0001410172 Rubicon Technology, Inc. RBCN 0001943926 Seirer Darren Clay 80 EIGHTH AVENUE, SUITE 1500 NEW YORK NY 10011 1 0 1 0 Common Stock 451842 I See Footnote No securities are beneficially owned by the Reporting Person. The Reporting Person serves as a director of the Issuer at the request of the beneficial owner of 1,108,000 shares of the Issuer's outstanding Common Stock, and the Reporting Person's spouse is a director, president and CEO of such shareholder and is the indirect beneficial owner of 40.78% of such shareholder's outstanding common stock. The Reporting Person disclaims beneficial ownership of any interest in the Issuer held by such shareholder and this report shall not be deemed an admission that the Reporting Person is the beneficial owner of the securities for purposes of Section 16 or any other purpose. Exhibit List: Exhibit 24 - Section 16 Power of Attorney\_D. Seirer /s/ Darren Seirer 2022-08-29

EX-24 2 ea164991ex24\_rubicon.htm POWER OF ATTORNEY

Exhibit 24

## POWER OF ATTORNEY

Know all by these present, that the undersigned hereby constitutes and appoints each of Timothy E. Brog and Maureen Wells, acting singly, the undersigned's true and lawful attorney-in-fact to:

- execute in the undersigned's name and on the undersigned's behalf and submit to the U.S. Securities and Exchange Commission (the "SEC") a Form ID, including amendments thereto, and any other documents necessary or appropriate to obtain codes and passwords enabling the undersigned to make electronic filings with the SEC of reports required by Section 16(a) of the Securities Exchange Act of 1934 or any rule or regulation of the SEC promulgated thereunder;
- execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of Rubicon Technology, Inc., a Delaware corporation (the "Company"), Forms 3, 4, and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules and regulations of the SEC promulgated thereunder;
- do and perform any and all acts for and on behalf of the undersigned that may be necessary or desirable to complete and execute any such Form 3, 4, or 5, complete and execute any amendment or amendments thereto, and timely file such form or amendment with the SEC and any stock exchange or similar authority; and
- take any other action of any type whatsoever in connection with the foregoing that, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934 or the rules and regulations of the SEC promulgated thereunder.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 25 day of August 2022.

Name: /s/ Darren Seirer